



House of Representatives

File No. 669

General Assembly

February Session, 2000

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Substitute House Bill No. 5287
As Amended by House Amendment
Schedule "A"

Approved by the Legislative Commissioner
April 27, 2000

An Act Concerning Emergency Medical Services Data Collection And Emergency Medical Dispatch.

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

1 Section 1. Section 19a-175 of the general statutes is repealed and the
2 following is substituted in lieu thereof:

3 As used in this chapter and sections 9 to 12, inclusive, of this act,
4 unless the context otherwise requires:

5 (1) "Emergency medical service system" means a system which
6 provides for the arrangement of personnel, facilities and equipment for
7 the efficient, effective and coordinated delivery of health care services
8 under emergency conditions;

9 (2) "Patient" means an injured, ill, crippled or physically
10 handicapped person requiring assistance and transportation;

11 (3) "Ambulance" means a motor vehicle specifically designed to
12 carry patients;

13 (4) "Ambulance service" means an organization which transports
14 patients;

15 (5) "Emergency medical technician" means an individual who has
16 successfully completed the training requirements established by the
17 [Commissioner of Public Health] commissioner and has been certified
18 by the Department of Public Health;

19 (6) "Ambulance driver" means a person whose primary function is
20 driving an ambulance;

21 (7) "Emergency medical technician instructor" means a person who
22 is certified by the Department of Public Health to teach courses, the
23 completion of which is required in order to become an emergency
24 medical technician;

25 (8) "Communications facility" means any facility housing the
26 personnel and equipment for handling the emergency communications
27 needs of a particular geographic area;

28 (9) "Life saving equipment" means equipment used by emergency
29 medical personnel for the stabilization and treatment of patients;

30 (10) "Emergency medical service organization" means any
31 organization whether public, private or voluntary which offers
32 transportation or treatment services to patients under emergency
33 conditions;

34 (11) "Invalid coach" means a vehicle used exclusively for the
35 transportation of nonambulatory patients, who are not confined to
36 stretchers, to or from either a medical facility or the patient's home in
37 nonemergency situations or utilized in emergency situations as a
38 backup vehicle when insufficient emergency vehicles exist;

39 (12) "Rescue service" means any organization, whether profit or
40 nonprofit, whose primary purpose is to search for persons who have
41 become lost or to render emergency service to persons who are in
42 dangerous or perilous circumstances;

43 (13) "Provider" means any person, corporation or organization,
44 whether profit or nonprofit, whose primary purpose is to deliver
45 medical care or services, including such related medical care services
46 as ambulance transportation;

47 (14) "Commissioner" means the Commissioner of Public Health;

48 (15) "Paramedic" means a person licensed pursuant to section 20-
49 206ll;

50 (16) "Commercial ambulance service" means an ambulance service
51 which primarily operates for profit;

52 (17) "Licensed ambulance service" means a commercial ambulance
53 service or a volunteer or municipal ambulance service issued a license
54 by the commissioner;

55 (18) ["Certified ambulance services"] "Certified ambulance service"
56 means a municipal or volunteer ambulance service issued a certificate
57 by the commissioner;

58 (19) "Management service" means an organization which provides
59 emergency medical technicians or paramedics to any entity including
60 an ambulance service but does not include a commercial ambulance
61 service or a volunteer or municipal ambulance service; [and]

62 (20) "Automatic external defibrillator" means a device that: (A) Is
63 used to administer an electric shock through the chest wall to the heart;
64 (B) contains internal decision-making electronics, microcomputers or
65 special software that allows it to interpret physiologic signals, make
66 medical diagnosis and, if necessary, apply therapy; (C) guides the user
67 through the process of using the device by audible or visual prompts;
68 and (D) does not require the user to employ any discretion or
69 judgment in its use;

70 (21) "Mutual aid call" means a call for emergency medical services
71 that, pursuant to the terms of a written agreement, is responded to by a
72 secondary or alternate emergency medical services provider if the

73 primary or designated emergency medical services provider is unable
74 to respond because such primary or designated provider is responding
75 to another call for emergency medical services or the ambulance or
76 nontransport emergency vehicle operated by such primary or
77 designated provider is out of service. For purposes of this subdivision,
78 "nontransport emergency vehicle" means a vehicle used by emergency
79 medical technicians or paramedics in responding to emergency calls
80 that is not used to carry patients;

81 (22) "Municipality" means the legislative body of a municipality or
82 the board of selectmen in the case of a municipality in which the
83 legislative body is a town meeting;

84 (23) "Primary service area" means a specific geographic area to
85 which one designated emergency medical services provider is
86 assigned for each category of emergency medical response services;
87 and

88 (24) "Primary service area responder" means an emergency medical
89 services provider who is designated to respond to a victim of sudden
90 illness or injury in a primary service area.

91 Sec. 2. Section 19a-177 of the general statutes is repealed and the
92 following is substituted in lieu thereof:

93 The commissioner shall:

94 (1) With the advice of the Office of Emergency Medical Services
95 established pursuant to section 19a-178, as amended by this act, and of
96 an advisory committee on emergency medical services and with the
97 benefit of meetings held pursuant to subsection (b) of section 19a-184,
98 adopt every five years a state-wide plan for the coordinated delivery of
99 emergency medical services;

100 (2) License or certify the following: (A) Ambulance operations,
101 ambulance drivers, emergency medical technicians and
102 communications personnel; (B) emergency room facilities and

103 communications facilities; and (C) transportation equipment, including
104 land, sea and air vehicles used for transportation of patients to
105 emergency facilities and periodically inspect life saving equipment,
106 emergency facilities and emergency transportation vehicles to insure
107 that state standards are maintained;

108 (3) Annually inventory emergency medical services resources
109 within the state, including facilities, equipment, and personnel, for the
110 purposes of determining the need for additional services and the
111 effectiveness of existing services;

112 (4) Review and evaluate all area-wide plans developed by the
113 emergency medical services councils pursuant to section 19a-182 in
114 order to insure conformity with standards issued by [said] the
115 commissioner;

116 (5) Within thirty days of their receipt, review all grant and contract
117 applications for federal or state funds concerning emergency medical
118 services or related activities for conformity to policy guidelines and
119 forward such application to the appropriate agency, when required;

120 (6) Establish such minimum standards and adopt such regulations
121 in accordance with the provisions of chapter 54, as may be necessary to
122 develop the following components of an emergency medical service
123 system: (A) Communications, which shall include, but not be limited
124 to, equipment, radio frequencies and operational procedures; (B)
125 transportation services, which shall include, but not be limited to,
126 vehicle type, design, condition and maintenance, life saving equipment
127 and operational procedure; (C) training, which shall include, but not
128 be limited to, emergency medical technicians, communications
129 personnel, paraprofessionals associated with emergency medical
130 services, firefighters and state and local police; and (D) emergency
131 medical service facilities, which shall include, but not be limited to,
132 categorization of emergency departments as to their treatment
133 capabilities and ancillary services;

134 (7) Coordinate training of all personnel related to emergency

135 medical services;

136 (8) [Develop] (A) Not later than October 1, 2001, develop or cause to
137 be developed a data collection system [which shall include a method of
138 uniform patient record keeping which] that will follow a patient from
139 initial entry into the emergency medical service system through
140 [discharge from] arrival at the emergency room. The commissioner
141 shall, on a quarterly basis, collect the following information from each
142 licensed ambulance service or certified ambulance service that
143 provides emergency medical services: (i) The total number of calls for
144 emergency medical services received by such licensed ambulance
145 service or certified ambulance service through the 9-1-1 system during
146 the reporting period; (ii) each level of emergency medical services, as
147 defined in regulations adopted pursuant to section 19a-179, as
148 amended by this act, required for each such call; (iii) the response time
149 for each licensed ambulance service or certified ambulance service
150 during the reporting period; (iv) the number of passed calls, cancelled
151 calls and mutual aid calls during the reporting period; and (v) for the
152 reporting period, the prehospital data for the nonscheduled transport
153 of patients required by regulations adopted pursuant to subdivision
154 (6) of this section. The information required under this subdivision
155 may be submitted in any written or electronic form selected by such
156 licensed ambulance service or certified ambulance service and
157 approved by the commissioner, provided the commissioner shall take
158 into consideration the needs of such licensed ambulance service or
159 certified ambulance service in approving such written or electronic
160 form. The commissioner may conduct an audit of any such licensed
161 ambulance service or certified ambulance service as the commissioner
162 deems necessary in order to verify the accuracy of such reported
163 information.

164 (B) The commissioner shall prepare a report that shall include, but
165 not be limited to, the following information: (i) The total number of
166 calls for emergency medical services received during the reporting
167 year by each licensed ambulance service or certified ambulance
168 service; (ii) the level of emergency medical services required for each

169 such call; (iii) the name of the provider of each such level of emergency
170 medical services furnished during the reporting year; (iv) the response
171 time, by time ranges or fractile response times, for each licensed
172 ambulance service or certified ambulance service, using a common
173 definition of response time, as provided in regulations adopted
174 pursuant to section 19a-179, as amended by this act; and (v) the
175 number of passed calls, cancelled calls and mutual aid calls during the
176 reporting year. The commissioner shall prepare such report in a format
177 that categorizes such information for each municipality in which the
178 emergency medical services were provided, with each such
179 municipality grouped according to urban, suburban and rural
180 classifications. Not later than March 31, 2002, and annually thereafter,
181 the commissioner shall submit such report to the joint standing
182 committee of the General Assembly having cognizance of matters
183 relating to public health, shall make such report available to the public
184 and shall post such report on the Department of Public Health web site
185 on the Internet.

186 (C) If any licensed ambulance service or certified ambulance service
187 does not submit the information required under subparagraph (A) of
188 this subdivision for a period of six consecutive months, or if the
189 commissioner believes that such licensed ambulance service or
190 certified ambulance service knowingly or intentionally submitted
191 incomplete or false information, the commissioner shall issue a written
192 order directing such licensed ambulance service or certified ambulance
193 service to comply with the provisions of subparagraph (A) of this
194 subdivision and submit all missing information or such corrected
195 information as the commissioner may require. If such licensed
196 ambulance service or certified ambulance service fails to fully comply
197 with such order not later than three months from the date such order is
198 issued, the commissioner (i) shall conduct a hearing, in accordance
199 with chapter 54, at which such licensed ambulance service or certified
200 ambulance service shall be required to show cause why the primary
201 service area assignment of such licensed ambulance service or certified
202 ambulance service should not be revoked, and (ii) may take such

203 disciplinary action under section 19a-17 as the commissioner deems
204 appropriate.

205 (D) On and after October 1, 2006, the commissioner shall collect the
206 information required by subparagraph (A) of this subdivision, in the
207 manner provided in said subparagraph, from each person or
208 emergency medical service organization licensed or certified under
209 section 19a-180, as amended by this act, that provides emergency
210 medical services. On and after October 1, 2006, such information shall
211 be included in the annual report prepared by the commissioner in
212 accordance with subparagraph (B) of this subdivision and such person
213 or emergency medical service organization shall be subject to the
214 provisions of subparagraph (C) of this subdivision; [and]

215 (9) (A) Establish rates for the conveyance of patients by licensed
216 ambulance services and invalid coaches and establish [an] emergency
217 service [rate] rates for certified ambulance services, provided the
218 present rates established [by the Public Utilities Commission] for such
219 services and vehicles shall remain in effect until such time as the
220 commissioner establishes a new rate schedule as provided [herein,] in
221 this subdivision; and (B) adopt regulations, in accordance with the
222 provisions of chapter 54, establishing methods for setting rates and
223 conditions for charging such rates. Such regulations shall include, but
224 not be limited to, provisions requiring that on and after July 1, 2000: (i)
225 Requests for rate increases may be filed no more frequently than once
226 a year; (ii) only licensed ambulance services and certified ambulance
227 services that apply for a rate increase and do not accept the maximum
228 allowable rates contained in any voluntary state-wide rate schedule
229 established by the commissioner for the rate application year shall be
230 required to file detailed financial information with the commissioner;
231 (iii) licensed ambulance services and certified ambulance services that
232 do not apply for a rate increase in any year or that accept the
233 maximum allowable rates contained in any voluntary state-wide rate
234 schedule established by the commissioner for the rate application year
235 shall, not later than July fifteenth of such year, file with the
236 commissioner either an audited financial statement or an accountant's

237 review report pertaining to the most recently completed fiscal year of
238 the licensed ambulance service or certified ambulance service,
239 including total revenue and total expenses, a statement of emergency
240 and nonemergency call volume, and, in the case of a licensed
241 ambulance service or certified ambulance service that is not applying
242 for a rate increase, a written declaration by such licensed ambulance
243 service or certified ambulance service that no change in its currently
244 approved maximum allowable rates will occur for the rate application
245 year; and (iv) detailed financial and operational information filed by
246 licensed ambulance services and certified ambulance services to
247 support a request for a rate increase shall cover the time period
248 pertaining to the most recently completed fiscal year and the rate
249 application year of the licensed ambulance service or certified
250 ambulance service;

251 (10) Research, develop, track and report on appropriate quantifiable
252 outcome measures for the state's emergency medical services system
253 and submit to the joint standing committee of the General Assembly
254 having cognizance of matters relating to public health, in accordance
255 with the provisions of section 11-4a, on or before July 1, 2002, and
256 annually thereafter, a report on the progress toward the development
257 of such outcome measures and, after such outcome measures are
258 developed, an analysis of emergency medical services system
259 outcomes;

260 (11) Establish primary service areas and assign in writing a primary
261 service area responder for each primary service area; and

262 (12) Revoke primary services area assignments upon determination
263 by the commissioner that it is in the best interests of patient care to do
264 so.

265 Sec. 3. Section 19a-178 of the general statutes is amended by adding
266 subsection (c) as follows:

267 (NEW) (c) Not later than July 1, 2001, the Office of Emergency
268 Medical Services shall, with the advice of the Emergency Medical

269 Services Advisory Board established pursuant to section 19a-178a and
270 the regional emergency medical services councils established pursuant
271 to section 19a-183, develop model local emergency medical services
272 plans and performance agreements to guide municipalities in the
273 development of such plans and agreements. In developing the model
274 plans and agreements, the office shall take into account (1) the
275 differences in the delivery of emergency medical services in urban,
276 suburban and rural settings, (2) the state-wide plan for the coordinated
277 delivery of emergency medical services adopted pursuant to
278 subdivision (1) of section 19a-177, as amended by this act, and (3)
279 guidelines or standards and contracts or written agreements in use by
280 municipalities of similar population and characteristics.

281 Sec. 4. Section 19a-179 of the general statutes is repealed and the
282 following is substituted in lieu thereof:

283 The [Commissioner of Public Health] commissioner shall adopt
284 regulations, in accordance with chapter 54, concerning the methods
285 and conditions for licensure and certification of the operations,
286 facilities and equipment enumerated in section 19a-177, as amended by
287 this act, and regulations regarding complaint procedures for the public
288 and any emergency medical service organization. Such regulations
289 shall be [adopted in accordance with the provisions of chapter 54 and
290 shall be] in conformity with the policies and standards established by
291 the commissioner. Such regulations shall require that, as an express
292 condition of the purchase of any business holding a primary service
293 area, the purchaser shall agree to abide by any performance standards
294 to which the purchased business was obligated pursuant to its
295 agreement with the municipality.

296 Sec. 5. Section 19a-180 of the general statutes is repealed and the
297 following is substituted in lieu thereof:

298 (a) No person shall operate any ambulance service, rescue service or
299 management service without either a license or a certificate issued by
300 the [Commissioner of Public Health] commissioner. No person shall

301 operate a commercial ambulance service or commercial rescue service
302 or a management service without a license issued by the
303 commissioner. A certificate shall be issued to any volunteer or
304 municipal ambulance service which shows proof satisfactory to the
305 commissioner that it meets the minimum standards of the
306 commissioner in the areas of training, equipment and personnel.
307 Applicants for a license shall use the forms prescribed by the
308 commissioner and shall submit such application to the commissioner
309 accompanied by an annual fee of one hundred dollars. In considering
310 requests for approval of permits for new or expanded emergency
311 medical services in any region, the commissioner shall consult with the
312 Office of Emergency Medical Services and the emergency medical
313 services council of such region and shall hold a public hearing to
314 determine the necessity for such services. Written notice of such
315 hearing shall be given to current providers in the geographic region
316 where such new or expanded services would be implemented,
317 provided, [that] any volunteer ambulance service which elects not to
318 levy charges for services rendered under this chapter shall be exempt
319 from the provisions concerning requests for approval of permits for
320 new or expanded emergency medical services [,] set forth [above] in
321 this subsection. Each applicant for licensure shall furnish proof of
322 financial responsibility which the commissioner deems sufficient to
323 satisfy any claim. The commissioner may adopt regulations, in
324 accordance with the provisions of chapter 54, to establish satisfactory
325 kinds of coverage and limits of insurance for each applicant for either
326 licensure or certification. Until such regulations are adopted, the
327 following shall be the required limits for licensure: (1) For damages by
328 reason of personal injury to, or the death of, one person on account of
329 any accident, at least five hundred thousand dollars, and more than
330 one person on account of any accident, at least one million dollars, (2)
331 for damage to property at least fifty thousand dollars, and (3) for
332 malpractice in the care of one passenger at least two hundred fifty
333 thousand dollars, and for more than one passenger at least five
334 hundred thousand dollars. In lieu of the [foregoing] limits set forth in
335 subdivisions (1) to (3), inclusive, of this subsection, a single limit of

336 liability shall be allowed as follows: (A) For damages by reason of
337 personal injury to, or death of, one or more persons and damage to
338 property, at least one million dollars; and (B) for malpractice in the
339 care of one or more passengers, at least five hundred thousand dollars.
340 A certificate of such proof shall be filed with the commissioner. Upon
341 determination by the commissioner that an applicant is financially
342 responsible, properly certified and otherwise qualified to operate a
343 commercial ambulance service, the commissioner shall issue a license
344 effective for one year to such applicant. If the commissioner
345 determines that an applicant for either a certificate or license is not so
346 qualified, the commissioner shall notify such applicant of the denial of
347 [his] the application with a statement of the reasons for such denial.
348 Such applicant shall have thirty days to request a hearing on the denial
349 of [said] the application.

350 (b) Any person or emergency medical [services] service
351 organization which does not maintain standards or violates
352 regulations adopted under any section of this chapter applicable to
353 such person or organization may have [his or its] such person's or
354 organization's license or certification suspended or revoked or may be
355 subject to any other disciplinary action specified in section 19a-17 after
356 notice by certified mail to such person or organization of the facts or
357 conduct which warrant the intended action. Such person or emergency
358 medical [services] service organization shall have an opportunity to
359 show compliance with all requirements for the retention of such
360 certificate or license. In the conduct of any investigation by the
361 commissioner of alleged violations of the standards or regulations
362 adopted under the provisions of this chapter, the commissioner may
363 issue subpoenas requiring the attendance of witnesses and the
364 production by any medical [services] service organization or person of
365 reports, records, tapes or other documents which concern the
366 allegations under investigation. All records obtained by the
367 commissioner in connection with any such investigation shall not be
368 subject to the provisions of section 1-210, as amended, for a period of
369 six months from the date of the petition or other event initiating such

370 investigation, or until such time as the investigation is terminated
371 pursuant to a withdrawal or other informal disposition or until a
372 hearing is convened pursuant to chapter 54, whichever is earlier. A
373 complaint, as defined in subdivision (6) of section 19a-13, shall be
374 subject to the provisions of section 1-210, as amended, from the time
375 that it is served or mailed to the respondent. Records which are
376 otherwise public records shall not be deemed confidential merely
377 because they have been obtained in connection with an investigation
378 under this chapter.

379 (c) Any person or emergency medical service organization
380 aggrieved by an act or decision of the commissioner regarding
381 certification or licensure may appeal in the manner provided by
382 chapter 54.

383 (d) Any person guilty of any of the following acts shall be fined not
384 more than two hundred fifty dollars, or imprisoned not more than
385 three months, or be both fined and imprisoned: (1) In any application
386 to the commissioner or in any proceeding before or investigation made
387 by the commissioner, knowingly making any false statement or
388 representation, or, with knowledge of its falsity, filing or causing to be
389 filed any false statement or representation in a required application or
390 statement; (2) issuing, circulating or publishing or causing to be issued,
391 circulated or published any form of advertisement or circular for the
392 purpose of soliciting business which contains any statement that is
393 false or misleading, or otherwise likely to deceive a reader thereof,
394 with knowledge that it contains such false, misleading or deceptive
395 statement; (3) giving or offering to give anything of value to any
396 person for the purpose of promoting or securing ambulance or rescue
397 service business or obtaining favors relating thereto; (4) administering
398 or causing to be administered, while serving in the capacity of an
399 employee of any licensed ambulance or rescue service, any alcoholic
400 liquor to any patient in [his] such employee's care, except under the
401 supervision and direction of a licensed physician; (5) in any respect
402 wilfully violating or failing to comply with any provision of this
403 chapter or wilfully violating, failing, omitting or neglecting to obey or

404 comply with any regulation, order, decision or license, or any part or
405 provisions thereof; (6) with one or more other persons, conspiring to
406 violate any license or order issued by the commissioner or any
407 provision of this chapter.

408 (e) No person shall place any advertisement or produce any printed
409 matter that holds that person out to be an ambulance service unless
410 [he] such person is licensed or certified pursuant to this section. Any
411 such advertisement or printed matter shall include the license or
412 certificate number issued by the commissioner.

413 Sec. 6. Subsection (c) of section 28-24 of the general statutes is
414 repealed and the following is substituted in lieu thereof:

415 (c) Within a time period determined by the commissioner to ensure
416 the availability of funds for the fiscal year beginning July 1, 1997, to the
417 regional public safety emergency telecommunications centers within
418 the state, and not later than April first of each year thereafter, the
419 commissioner shall determine the amount of funding needed for the
420 development and administration of the enhanced emergency 9-1-1
421 program. The commissioner shall specify the expenses associated with
422 (1) the purchase, installation and maintenance of new public safety
423 answering point terminal equipment, (2) the implementation of the
424 subsidy program, as described in subdivision (2) of subsection (a) of
425 this section, (3) the implementation of the transition grant program,
426 described in subdivision (2) of subsection (a) of this section, (4) the
427 implementation of the regional emergency telecommunications service
428 credit, as described in subdivision (2) of subsection (a) of this section,
429 provided, for the fiscal year ending June 30, 2001, and each fiscal year
430 thereafter, such credit for coordinated medical emergency direction
431 services as provided in regulations adopted under this section shall be
432 based upon the factor of fifteen cents per capita and shall not be
433 reduced each year, (5) the training of personnel, as necessary, (6)
434 recurring expenses and future capital costs associated with the
435 telecommunications network used to provide emergency 9-1-1 service,
436 [and] (7) for the fiscal year ending June 30, 2001, and each fiscal year

437 thereafter, the collection, maintenance and reporting of emergency
438 medical services data, as required under subparagraphs (A) and (B) of
439 subdivision (8) of section 19a-177, as amended by this act, provided the
440 amount of expenses specified under this subdivision shall not exceed
441 two hundred fifty thousand dollars in any fiscal year, (8) for the fiscal
442 year ending June 30, 2001, and each fiscal year thereafter, the initial
443 training of emergency medical dispatch personnel, the provision of an
444 emergency medical dispatch priority reference card set and emergency
445 medical dispatch training and continuing education pursuant to
446 subdivisions (3) and (4) of subsection (g) of section 28-25b, as amended
447 by this act, and (9) the administration of the enhanced emergency 9-1-1
448 program by the Office of State-Wide Emergency Telecommunications,
449 as the commissioner determines to be reasonably necessary. The
450 commissioner shall communicate [his] the commissioner's findings to
451 the [chairman] chairperson of the Public Utilities Control Authority
452 not later than April first of each year.

453 Sec. 7. Section 28-25 of the general statutes is amended by adding
454 subdivision (15) as follows:

455 (NEW) (15) "Emergency medical dispatch" means the management
456 of requests for emergency medical assistance by utilizing a system of
457 (A) tiered response or priority dispatching of emergency medical
458 resources based on the level of medical assistance needed by the
459 victim, and (B) prearrival first aid or other medical instructions given
460 by trained personnel who are responsible for receiving 9-1-1 calls and
461 directly dispatching emergency response services.

462 Sec. 8. Section 28-25b of the general statutes is repealed and the
463 following is substituted in lieu thereof:

464 (a) Each public safety answering point shall be capable of
465 transmitting requests for law enforcement, fire fighting, medical,
466 ambulance or other emergency services to a public or private safety
467 agency that provides the requested services.

468 (b) Each public safety answering point shall be equipped with a

469 system approved by the office for the processing of requests for
470 emergency services from the physically disabled.

471 (c) No person shall connect to a telephone company's network any
472 automatic alarm or other automatic alerting device which causes the
473 number "9-1-1" to be automatically dialed and provides a prerecorded
474 message in order to directly access emergency services, except for a
475 device approved by the office and required by a physically disabled
476 person to access a public safety answering point.

477 (d) Except as provided in subsection (e) of this section, no person,
478 firm or corporation shall program any telephone or associated
479 equipment with outgoing access to the public switched network of a
480 telephone company so as to prevent a 9-1-1 call from being transmitted
481 from such telephone to a public safety answering point.

482 (e) A private company, corporation or institution which has full-
483 time law enforcement, fire fighting and emergency medical service
484 personnel, with the approval of the office and the municipality in
485 which it is located, may establish 9-1-1 service to enable users of
486 telephones within their private branch exchange to reach a private
487 safety answering point by dialing the digits "9-1-1". Such 9-1-1 service
488 shall provide the capability to deliver and display automatic number
489 identification and automatic location identification by electronic or
490 manual methods approved by the office to the private safety
491 answering point. Prior to the installation and utilization of such 9-1-1
492 service, each municipality in which it will function, shall submit a
493 private branch exchange 9-1-1 utilization plan to the office in a format
494 approved by the office. Such plan shall be approved by the chief
495 executive officer of such municipality who shall attest that the dispatch
496 of emergency response services from a private safety answering point
497 is equal to, or better than, the emergency response services dispatched
498 from a public safety answering point.

499 (f) On and after January 1, 2001, each public safety answering point
500 shall submit to the office, on a quarterly basis, a report of the calls for

501 emergency medical services received by the public safety answering
502 point. Such report shall include, but not be limited to, the following
503 information: (1) The number of 9-1-1 calls during the reporting quarter
504 that involved a medical emergency; and (2) for each such call, the
505 elapsed time period from the time the call was received to the time the
506 call was answered, and the elapsed time period from the time the call
507 was answered to the time emergency response services were
508 dispatched or the call was transferred or relayed to another public
509 safety agency or private safety agency, expressed in time ranges or
510 fractile response times. The information required under this subsection
511 may be submitted in any written or electronic form selected by such
512 public safety answering point and approved by the Commissioner of
513 Public Safety, provided the commissioner shall take into consideration
514 the needs of such public safety answering point in approving such
515 written or electronic form. On a quarterly basis, the office shall furnish
516 such information to the Commissioner of Public Health, shall make
517 such information available to the public and shall post such
518 information on its web site on the Internet.

519 (g) (1) Not later than July 1, 2004, each public safety answering point
520 shall provide emergency medical dispatch, or shall arrange for
521 emergency medical dispatch to be provided by a public safety agency,
522 private safety agency or regional emergency telecommunications
523 center, in connection with all 9-1-1 calls received by such public safety
524 answering point for which emergency medical services are required.
525 Any public safety answering point that arranges for emergency
526 medical dispatch to be provided by a public safety agency, private
527 safety agency or regional emergency telecommunications center shall
528 file with the office such documentation as the office may require to
529 demonstrate that such public safety agency, private safety agency or
530 regional emergency telecommunications center satisfies the
531 requirements of subdivisions (2) and (3) of this subsection.

532 (2) Each public safety answering point, public safety agency, private
533 safety agency or regional emergency telecommunications center
534 performing emergency medical dispatch in accordance with

535 subdivision (1) of this subsection shall establish and maintain an
536 emergency medical dispatch program. Such program shall include, but
537 not be limited to, the following elements: (A) Medical interrogation,
538 dispatch prioritization and prearrival instructions in connection with
539 9-1-1 calls requiring emergency medical services shall be provided
540 only by personnel who have been trained in emergency medical
541 dispatch through satisfactory completion of a training course provided
542 or approved by the office under subdivision (3) of this subsection; (B) a
543 medically approved emergency medical dispatch priority reference
544 system shall be utilized by such personnel; (C) emergency medical
545 dispatch continuing education shall be provided for such personnel;
546 (D) a mechanism shall be employed to detect and correct discrepancies
547 between established emergency medical dispatch protocols and actual
548 emergency medical dispatch practice; and (E) a quality assurance
549 component shall be implemented to monitor, at a minimum, (i)
550 emergency medical dispatch time intervals, (ii) the utilization of
551 emergency medical dispatch program components, and (iii) the
552 appropriateness of emergency medical dispatch instructions and
553 dispatch protocols. The quality assurance component shall be prepared
554 with the assistance of a physician licensed in this state who is trained
555 in emergency medicine and shall provide for an ongoing review of the
556 effectiveness of the emergency medical dispatch program.

557 (3) Not later than July 1, 2001, the office shall provide an emergency
558 medical dispatch training course and an emergency medical dispatch
559 continuing education course, or approve any emergency medical
560 dispatch training course and emergency medical dispatch continuing
561 education course offered by other providers, that meets the
562 requirements of the U.S. Department of Transportation, National
563 Highway Traffic Safety Administration, Emergency Medical Dispatch
564 (EMD): National Standard Curriculum, as from time to time amended.

565 (4) The office shall provide each public safety answering point or
566 regional emergency telecommunications center performing emergency
567 medical dispatch in accordance with subdivision (1) of this subsection
568 with initial training of emergency medical dispatch personnel and an

569 emergency medical dispatch priority reference card set.

570 Sec. 9. (NEW) (a) Not later than July 1, 2002, each municipality shall
571 establish a local emergency medical services plan. Such plan shall
572 include the written agreements or contracts developed between the
573 municipality, its emergency medical services providers and the public
574 safety answering point, as defined in section 28-25 of the general
575 statutes, as amended by this act, that covers the municipality. The plan
576 shall also include, but not be limited to, the following:

577 (1) The identification of levels of emergency medical services,
578 including, but not limited to: (A) The public safety answering point
579 responsible for receiving emergency calls and notifying and assigning
580 the appropriate provider to a call for emergency medical services; (B)
581 the emergency medical services provider that is notified for initial
582 response; (C) basic ambulance service; (D) advanced life support level;
583 and (E) mutual aid call arrangements;

584 (2) The name of the person or entity responsible for carrying out
585 each level of emergency medical services that the plan identifies;

586 (3) The establishment of performance standards for each segment of
587 the municipality's emergency medical services system; and

588 (4) Any subcontracts, written agreements or mutual aid call
589 agreements that emergency medical services providers may have with
590 other entities to provide services identified in the plan.

591 (b) In developing the plan required by subsection (a) of this section,
592 each municipality: (1) May consult with and obtain the assistance of its
593 regional emergency medical services council established pursuant to
594 section 19a-183 of the general statutes, its regional emergency medical
595 services coordinator appointed pursuant to section 19a-185 of the
596 general statutes, its regional emergency medical services medical
597 advisory committees and any sponsor hospital, as defined in
598 regulations adopted pursuant to section 19a-179 of the general statutes,
599 as amended by this act, located in the area identified in the plan; and

600 (2) shall submit the plan to its regional emergency medical services
601 council for the council's review and comment.

602 Sec. 10. (NEW) (a) As used in this section, "responder" means any
603 primary service area responder that (1) is notified for initial response,
604 (2) is responsible for the provision of basic life support service, or (3) is
605 responsible for the provision of service above basic life support that is
606 intensive and complex prehospital care consistent with acceptable
607 emergency medical practices under the control of physician and
608 hospital protocols.

609 (b) Any municipality may petition the commissioner for the
610 removal of a responder. A petition may be made (1) at any time if
611 based on an allegation that an emergency exists and that the safety,
612 health and welfare of the citizens of the affected primary service area
613 are jeopardized by the responder's performance, or (2) not more often
614 than once every three years, if based on the unsatisfactory performance
615 of the responder as determined based on the local emergency medical
616 services plan established by the municipality pursuant to section 9 of
617 this act and associated agreements or contracts. A hearing on a petition
618 under this section shall be deemed to be a contested case and held in
619 accordance with the provisions of chapter 54 of the general statutes.

620 (c) If, after a hearing authorized by this section, the commissioner
621 determines that (1) an emergency exists and the safety, health and
622 welfare of the citizens of the affected primary service area are
623 jeopardized by the responder's performance, (2) the performance of the
624 responder is unsatisfactory based on the local emergency medical
625 services plan established by the municipality pursuant to section 9 of
626 this act and associated agreements or contracts, or (3) it is in the best
627 interests of patient care, the commissioner may revoke the primary
628 service area responder's primary service area assignment and require
629 the chief administrative official of the municipality in which the
630 primary service area is located to submit a plan acceptable to the
631 commissioner for the alternative provision of primary service area
632 responder responsibilities, or may issue an order for the alternative

633 provision of emergency medical services, or both.

634 Sec. 11. (NEW) (a) Any municipality may petition the commissioner
635 to hold a hearing if the municipality cannot reach a written agreement
636 with its primary service area responder concerning performance
637 standards. The commissioner shall conduct such hearing not later than
638 ninety days from the date the commissioner receives the municipality's
639 petition. A hearing on a petition under this section shall not be deemed
640 to be a contested case for purposes of chapter 54 of the general statutes.

641 (b) In conducting a hearing authorized by this section, the
642 commissioner shall determine if the performance standards adopted in
643 the municipality's local emergency medical services plan are
644 reasonable based on the state-wide plan for the coordinated delivery of
645 emergency medical services adopted pursuant to subdivision (1) of
646 section 19a-177 of the general statutes, as amended by this act, model
647 local emergency medical services plans and the standards, contracts
648 and written agreements in use by municipalities of similar population
649 and characteristics.

650 (c) If, after a hearing authorized by this section, the commissioner
651 determines that the performance standards adopted in the
652 municipality's local emergency medical services plan are reasonable,
653 the primary service area responder shall have thirty calendar days in
654 which to agree to such performance standards. If the primary service
655 area responder fails or refuses to agree to such performance standards,
656 the commissioner may revoke the primary service area responder's
657 primary service area assignment and require the chief administrative
658 official of the municipality in which the primary service area is located
659 to submit a plan acceptable to the commissioner for the alternative
660 provision of primary service area responder responsibilities, or may
661 issue an order for the alternative provision of emergency medical
662 services, or both.

663 (d) If, after a hearing authorized by this section, the commissioner
664 determines that the performance standards adopted in the

665 municipality's local emergency medical services plan are unreasonable,
666 the commissioner shall provide performance standards considered
667 reasonable based on the state-wide plan for the coordinated delivery of
668 emergency medical services adopted pursuant to subdivision (1) of
669 section 19a-177 of the general statutes, as amended by this act, model
670 emergency medical services plans and the standards, contracts and
671 written agreements in use by municipalities of similar population and
672 characteristics. If the municipality refuses to agree to such performance
673 standards, the primary service area responder shall meet the minimum
674 performance standards provided in regulations adopted pursuant to
675 section 19a-179 of the general statutes, as amended by this act.

676 Sec. 12. (NEW) (a) Not later than February 1, 2001, the
677 Commissioner of Public Health shall submit to the joint standing
678 committee of the General Assembly having cognizance of matters
679 relating to public health a plan of action for the implementation of a
680 pilot program, in not more than two municipalities that consent to
681 participate in such pilot program, to assess the effect of assigning a
682 primary service area to a selected provider of emergency medical
683 services based on the issuance of requests for proposals with a right of
684 first refusal granted to the provider that holds the primary service area
685 at the time of such issuance. The plan of action shall identify the
686 elements of and the means of implementing the pilot program,
687 including, but not limited to: (1) The procedure for selection of the
688 participating municipalities; (2) the design and measurement of
689 standards for the pilot program; (3) the identification of emergency
690 service factors to be assessed; (4) the identification of the evaluating
691 entity; and (5) the estimated time period for the implementation and
692 completion of the pilot program. The commissioner shall hold a public
693 hearing on the plan of action prior to such submission. The joint
694 standing committee of the General Assembly having cognizance of
695 matters relating to public health shall meet to consider the plan of
696 action not later than sixty days after the date of its submission. If the
697 plan of action is rejected by the committee, the commissioner shall
698 submit a revised plan of action not later than ninety days after the date

699 of such rejection.

700 (b) Unless otherwise modified or rejected by the joint standing
701 committee of the General Assembly having cognizance of matters
702 relating to public health, the pilot program shall begin on October 1,
703 2005. The pilot program shall, at a minimum, establish:

704 (1) An appropriate time frame within the expiration of a
705 participating municipality's current emergency medical services
706 contract for the initial issuance of requests for proposals and the initial
707 selection of a provider of emergency medical services by the
708 participating municipality under the pilot program, provided this
709 subdivision shall not be construed to prevent a participating
710 municipality from selecting or otherwise renewing any contract with
711 its current provider of emergency medical services;

712 (2) An appropriate time period from the date of initial selection
713 under subdivision (1) of this subsection after which a participating
714 municipality may solicit requests for proposals from alternative
715 providers of emergency medical services, provided such time period
716 shall be reasonably sufficient to permit the initial provider to recoup
717 any investment made for the purpose of providing emergency medical
718 services in the participating municipality, but shall not exceed eight
719 years;

720 (3) Criteria for selection and approval of an alternative provider of
721 emergency medical services that submits a bona fide proposal,
722 including, but not limited to, (A) a right of first refusal, granted to the
723 provider that holds the primary service area at the time the requests
724 for proposals are issued, that may be exercised by such provider if
725 such provider makes a bona fide offer matching the proposal
726 submitted by the selected alternative provider, (B) a requirement for
727 approval by the legislative body of the participating municipality by a
728 greater than majority vote, and (C) approval of any such selected
729 alternative provider by the commissioner as appropriate to protect the
730 public health and safety; and

731 (4) Requirements, including a timeframe, for reporting the status
732 and results of the pilot program, and the recommendations of the
733 commissioner with respect to the continuation or expansion of the
734 pilot program, to the joint standing committee of the General
735 Assembly having cognizance of matters relating to public health.

736 (c) Nothing in this section shall be construed to authorize the
737 termination of any contract in effect on the date the pilot program
738 begins or to otherwise interfere with any rights or duties created by
739 any such contract.

740 Sec. 13. The Commissioner of Public Health shall study and make
741 recommendations concerning the implementation of an expedited
742 approval or reporting process or a waiver of any required approval for
743 the operation of additional ambulances, invalid coaches, nontransport
744 emergency vehicles and branch locations by persons or emergency
745 medical service organizations licensed or certified under section 19a-
746 180 of the general statutes, as amended by this act, where such
747 operation is not a new service offered by any such person or
748 emergency medical service organization and does not result in any
749 change in rates. Not later than December 31, 2000, the commissioner
750 shall submit a report of the commissioner's findings and
751 recommendations to the joint standing committee of the General
752 Assembly having cognizance of matters relating to public health, in
753 accordance with section 11-4a of the general statutes.

754 Sec. 14. This act shall take effect July 1, 2000.

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

OFA Fiscal Note

State Impact: Significant Cost (Enhanced 9-1-1 Telecommunications Fund), Minimal Cost

Affected Agencies: Departments of Public Health, Public Safety

Municipal Impact: Indeterminate Cost, Minimal Cost, Potential Savings, STATE MANDATE

Explanation

State and Municipal Impact:

This bill makes various changes to law concerning the emergency medical services and emergency medical dispatch systems. These changes and their associated fiscal impact are as follows:

Collection of EMS Data

The Department of Public Health (DPH) will incur an estimated cost of \$249,845 in FY 01 to institute an emergency medical services (EMS) data collection system by October 1, 2001. Included in this sum is \$44,800 in equipment funding for a computer, printer and server, \$75,000 to purchase 250,000 trip record forms (at \$0.30 each), \$125,000 in data processing consultant costs and \$5,045 in associated other expenses. The agency anticipates hiring staff required to oversee the data collection system within its current services budget before the end of FY 00. In FY 02 and subsequent fiscal years, a DPH cost of \$200,000 - \$250,000 will be incurred to reflect the ongoing operation of this program. DPH costs would be offset by up to \$250,000 annually,

commencing in FY 01 from the Emergency 9-1-1 (E 9-1-1) Telecommunications Fund.

Municipally affiliated EMS companies will incur minimal costs to complete and forward tracking documents to the Department of Public Health on a quarterly basis, commencing October 1, 2001.

The bill expands the data collection program to rescue and management services by October 1, 2006. After that date, municipally affiliated EMS responders other than those licensed or certified as ambulance services will incur any resulting costs of completing and forwarding tracking documents to the Department of Public Health. The magnitude of any potential cost cannot be projected, as it would depend upon the data collection technology in use at that time.

It is anticipated that the DPH will be able to conduct audits to verify the accuracy of the reported information, conduct hearings involving EMS organizations failing to submit adequate information, compile a report summarizing the EMS data by March 31, 2002 and annually thereafter, and post this information upon its internet web site within its anticipated budgetary resources.

Beginning January 1, 2001, each public safety answering point (PSAP) would be required to submit quarterly reports to the Office of State-wide Emergency Telecommunications (OSET) in the Department of Public Safety on calls received for emergency medical services. OSET shall submit quarterly reports to the Department of Public Health. The costs to PSAPs would depend on the level of computerization and the number of EMS calls. It is anticipated that most would incur minimal additional costs. OSET estimates that it would require about one-half staff position to meet these data collection and reporting responsibilities, at an annual cost of about \$30,000, financed by the E 9-1-1 Fund.

EMS Rate Setting Process

Streamlining the EMS rate setting process will result in a workload

reduction for the DPH and the Office of Health Care Access. Any resources that would otherwise have been dedicated to this function will be redeployed to other EMS-related regulatory duties.

EMS Outcome Measures

The DPH will be required to develop outcome measures for the EMS system and submit a report by July 1, 2002 and annually thereafter on progress toward the development of these outcome measures. This can be accommodated within the agency's anticipated budgetary resources.

Determination of Need

The bill requires the DPH to study and make recommendations regarding streamlining the determination of need process. The agency will be able to develop and submit the required report by December 31, 2000, within its anticipated budgetary resources.

Primary Service Area Assignment

The bill requires, by February 1, 2001, the DPH to report to the Program Review and Investigations Committee a plan for a pilot program to assess the effect of assigning primary service areas (PSAs) to EMS providers. It is anticipated that the DPH will be able to accomplish this duty within its anticipated budgetary resources.

Since the DPH currently assigns primary service area (PSA) responders for each PSA no fiscal impact will result from mandating this responsibility.

Holding hearings at the request of a municipality requesting a review of performance standards when disagreements exist between the town and its primary service area responder can be accommodated within the DPH's anticipated budgetary resources.

Municipalities electing to petition the DPH for the removal of a PSA responder may incur a minimal cost to the extent that town

representatives devote time to participate in the subsequent hearing process.

Local Emergency Medical Services Plans

The Office of Emergency Medical Services will be required to develop model local EMS plans and performance agreements. It is anticipated that this can be accommodated within the DPH's anticipated budgetary resources. Communities currently without local EMS plans may incur indeterminate legal costs associated with negotiating contracts with EMS providers and PSAPs. The bill requires each municipality to establish a local EMS plan by July 1, 2002.

Emergency Medical Dispatch System

By July 1, 2004, the bill requires that each PSAP must provide itself or arrange for emergency medical dispatch (EMD) to be provided by a public or private safety agency or a regional emergency dispatch center. Based on national standards, implementation of EMD requires dispatchers to attend an initial training program, the provision of medical protocol reference sets, and re-certification every two or three years. The bill requires OSET to provide or approve an EMD training course and EMD continuing education course and to provide each PSAP or center doing EMD with initial training and an EMD priority card reference set.

Information contained in the Program Review and Investigations Committee report, and verified by OSET, indicates that while EMD adds to the length of some medical emergency calls, it does not usually require an increase in the number of dispatchers. Most increases were very minor and could be handled with minor overtime costs. In addition, an analysis of the current volume of emergency calls handled by each PSAP in Connecticut indicates that most could handle an increase in the time per call with current staff. Therefore, minimal additional costs are anticipated from a minimal workload increase. The PSAPs and the municipalities that provide funding for them

would incur these potential minimal costs.

Since the EMD system does not have to be in place until FY 04, the costs for training existing personnel could be spread over three years. Total costs of about \$195,000 would be incurred in FY 01. This estimate is based upon an assumption that 500 people would require training each year at a cost of about \$300 each (for a total of \$150,000). Additionally, OSET estimates that it would require one-half staff position to oversee EMD training responsibilities at an annual cost of about \$30,000. In FY 01, a cost of approximately \$15,000 would be incurred to purchase approximately 300 priority reference card sets, at a cost of \$50 each. Ongoing costs of \$180,000 would be experienced in FY 02 and 03, as personnel training and OSET oversight duties would recur. These costs would also be funded through the E 9-1-1 Fund.

Finally, the bill allows OSET to cover PSAP dispatcher re-certification costs, which range from \$50 to \$130 every two to three years. This, in addition to the training of new dispatchers would result in ongoing costs of about \$150,000 per year.

E 9-1-1 Fund

The E 9-1-1 Fund is primarily financed through fees assessed against subscribers of local telephone service. It is a non-lapsing, non-appropriated fund. The resources of the fund must be used solely for expenses associated with the enhanced emergency 9-1-1 program. Estimated FY 00 collections are \$9.14 million resulting from a \$0.31 per telephone line surcharge. The surcharge is capped by statute at \$0.50 per line. Each cent in the surcharge generates \$250,000 to \$300,000 per year.

C-MED Credit

The bill also requires that the credit for regional coordinated medical emergency direction (C-MED) services be increased to \$0.15 per capita and maintained at that level. This results in costs to the E 9-1-1 Fund estimated at \$150,000 in FY 01, \$300,000 in FY 02, and

\$450,000 annually beginning in FY 03. The C-MED credit was established in FY 97 at \$0.25 per capita and has been reduced by \$0.05 per year. It was established to provide partial relief to the local municipalities from the cost burden imposed by these operations, and then phased out in order to encourage the consolidation of dispatch centers. While this subsidy of C-MED services could potentially reduce costs to municipalities, the actual experience of municipalities in FY 97 indicated that few had any reduction in their costs for C-MED service. It should be noted that funding increases from the E 9-1-1 Fund has already offset the impact of the declining C-MED credit on the regional emergency communications centers.

House "A" modifies the original bill and fiscal note as follows:

Collection of EMS Data

It provides for ongoing subsidization of the costs of the emergency medical services (EMS) data collection system from the E 9-1-1 Fund. Up to \$250,000 will be made available each fiscal year to pay for the collection, maintenance and reporting of EMS data. As the original bill restricted the subsidy to FY 01 only, the amendment precludes the need for future appropriation of General Fund moneys to the Department of Public Health (DPH).

It requires the expansion of the data collection program to rescue and management services by October 1, 2006. After that date, municipally affiliated EMS responders other than those licensed or certified as ambulance services will incur any resulting costs of completing and forwarding tracking documents to the Department of Public Health. The magnitude of any potential cost cannot be projected, as it would depend upon the data collection technology in use at that time.

It also clarifies the bill by stating that a public safety answering point (PSAP) may submit its quarterly report of EMS calls in an approved written or electronic form, and changes from annual to quarterly the frequency with which the Department of Public Safety

(DPS) must furnish the information to the DPH. This has no fiscal impact on DPS.

EMS Rate Setting Process

Streamlining the EMS rate setting process will result in a workload reduction for the DPH and the Office of Health Care Access. Any resources that would otherwise have been dedicated to this function will be redeployed to other EMS-related regulatory duties.

EMS Outcome Measures

The DPH will be required to develop outcome measures for the EMS system and submit a report by July 1, 2002, and annually thereafter on progress toward the development of these outcome measures. This can be accommodated within the agency's anticipated budgetary resources.

Primary Service Area Assignment

It is anticipated that the DPH will be able to develop and submit a plan regarding the implementation of a pilot program for the assignment of primary service areas (PSA) by February 1, 2001, within its anticipated budgetary resources.

Since the DPH currently assigns primary service area responders for each PSA, no fiscal impact will result from mandating this responsibility.

Holding hearings at the request of a municipality requesting a review of performance standards when disagreements exist between the town and its primary service area responder can be accommodated within the DPH's anticipated budgetary resources.

Municipalities electing to petition the DPH for the removal of a PSA responder may incur a minimal cost to the extent that town representatives devote time to participate in the subsequent hearing process.

Local Emergency Medical Services Plans

The amendment requires each municipality to establish a local emergency medical services plan by July 1, 2002. Communities currently without local EMS plans may incur indeterminate legal costs associated with negotiating contracts with EMS providers and PSAPs.

The Office of Emergency Medical Services will be required to develop model local EMS plans and performance agreements. It is anticipated that this can be accommodated within the DPH's anticipated budgetary resources.

C-MED Credit

The amendment limits the increase in the credit for regional coordinated medical emergency direction (C-MED) services from \$0.30 to \$0.15 per capita. The \$0.15 is the per capita rate for the current fiscal year. This reduces the costs of the original bill by \$450,000 per year beginning in FY 01.

Emergency Medical Dispatch System

The original bill required the E 9-1-1 Fund to pay for the reimbursement of emergency medical dispatch costs, to include start-up costs related to initial training of EMD personnel and the purchase of an EMD priority reference set, and costs of ongoing training of EMD personnel. The amendment expands activities to be funded from the E 9-1-1 Fund to include continuing education provided by the Office of Statewide Emergency Telecommunications (OSET). This does not result in additional costs, because the fiscal note on the original bill assumed that the E 9-1-1 Fund would finance these ongoing training and continuing education costs.

The amendment also requires the OSET to provide each PSAP or regional emergency telecommunications center performing EMD with initial training and EMD priority reference card sets. The original bill called for the OSET to instead reimburse each PSAP for the training

and card sets. This could result in reduced costs through the negotiation of a master contract for statewide training and reference card sets. The amount of savings cannot be determined at this time.

Determination of Need

The amendment requires the DPH to study and make recommendations regarding streamlining the determination of need process. The agency will be able to develop and submit the required report by December 31, 2000, within its anticipated budgetary resources.

Other Changes

Other changes in the amendment are technical in nature or remove obsolete statutory references and have no associated fiscal impact.

OLR Amended Bill Analysis

sHB 5287 (as amended by House "A")*

AN ACT CONCERNING EMERGENCY MEDICAL SERVICES DATA COLLECTION AND EMERGENCY MEDICAL DISPATCH.**SUMMARY:**

This bill makes a number of changes in the state's emergency medical services (EMS) system including:

1. requiring the Department of Public Health (DPH) to collect specific EMS data from licensed and certified ambulance services and other EMS-related entities on a quarterly basis and prepare an annual report based on this data;
2. allowing DPH to impose penalties on those not submitting the required data;
3. requiring each public safety answering point (PSAP) to submit information quarterly to the Office of State-Wide Emergency Telecommunications on EMS calls received and requiring the office to provide DPH with this information annually;
4. requiring each PSAP, by July 1, 2004, to provide emergency medical dispatch (EMD) or arrange for it to be provided by a public or private safety agency or regional telecommunications center, for 9-1-1 calls the PSAP receives that require emergency medical services;
5. requiring the office to provide or approve an EMD training course and to assist PSAPs or centers with EMD training;
6. providing funding, through the enhanced emergency 9-1-1 program funding mechanism, for the DPH data collection activities and certain EMD costs;
7. requiring DPH to develop outcome measures for the EMS system;

8. requiring each municipality to establish a local EMS plan and requiring the Office of Emergency Medical Services to develop model local EMS plans;
9. allowing any municipality to petition the DPH commissioner to remove a primary service responder not meeting certain performance standards;
10. requiring DPH to develop a plan for a pilot program for assigning primary service;
11. requiring DPH to adopt regulations addressing procedures and conditions for filing rate increase requests; and
12. requiring DPH to study an expedited approval or waiver process for additional EMS vehicles and locations.

The bill also makes technical changes.

*House Amendment "A" replaces the original bill.

EFFECTIVE DATE: July 1, 2000

DATA COLLECTION SYSTEM

By law, the DPH commissioner must develop a data collection system that follows a patient from initial entry into the system through discharge from the emergency room. The bill, instead, directs DPH to develop the EMS data collection system by October 1, 2001 and follow the patient from initial EMS entry through emergency room arrival. The bill requires DPH to collect the following on a quarterly basis from each licensed or certified ambulance service providing EMS:

1. the total number of calls for EMS service received during the reporting period;
2. the level of EMS required for each call;
3. the response time for each ambulance service given during that period;
4. the number of passed, cancelled, and mutual aid calls during that

period (“a mutual aid call” is a call for EMS that, according to a written agreement, a secondary or alternate EMS provider responds to because the primary or designated provider cannot because it is responding to another call or the vehicle is out of service); and

5. the prehospital data for the unscheduled transport of patients for that period.

This information can be submitted in any DPH-approved written or electronic form the service chooses. DPH must consider the services’ needs in approving the form. DPH can audit the service as necessary to verify the reported information’s accuracy.

Beginning October 1, 2006, DPH must also collect this information from each licensed or certified EMS person or organization. The information must be included in DPH’s annual report (see next section) beginning October 1, 2006.

DPH Report

The bill directs DPH to prepare a report for the year that includes:

1. the total number of calls for EMS received during the reporting year by each licensed or certified ambulance service;
2. the level of EMS required for each call;
3. the name of the provider of each level of EMS given during the reporting year;
4. the response time (in time ranges or fractile response times) for each provider using a common definition of response time; and
5. the number of passed, cancelled, and mutual aid calls.

This report must be in a format that categorizes the information for each town in which the EMS was provided, grouped according to urban, suburban, and rural categories. Annually, beginning by March 31, 2002, DPH must submit this report to the Public Health Committee, make it publicly available, and post it on the Internet.

Penalties

Under the bill, the commissioner can impose certain penalties on a licensed or certified ambulance service that fails to submit the required information. DPH must issue a written order directing the service to comply with the reporting requirement if (1) the service does not submit information for six consecutive months or (2) if DPH believes the service knowingly or intentionally submitted incomplete or false information.

If the service does not fully comply with the order within three months from its issuance, DPH (1) must hold a hearing at which the service must show cause why its primary service area assignment should not be revoked and (2) can take a variety of disciplinary actions against the service (e.g. license revocation or suspension, censure, letter of reprimand, probation, civil penalties) as it deems appropriate.

Licensed or certified persons or EMS organizations required to provide the information are also subject to these penalties beginning October 1, 2006.

PSAP REPORTING

Beginning January 1, 2001, the bill requires each PSAP to submit quarterly reports to the Office of State-wide Emergency Telecommunications of the calls it received for EMS. A "PSAP" is a facility operated 24 hours a day to receive 9-1-1 calls and, as appropriate, directly dispatch emergency response services or transfer or relay emergency 9-1-1 calls to other public safety agencies.

The report must include (1) the number of 9-1-1 calls during the quarter involving a medical emergency and (2) for each call, the elapsed time between when the call was received and answered and between when the call was answered and emergency response services were dispatched or the call was transferred or relayed to another public or private safety agency (this must be reported in time ranges or fractile response times). The information can be submitted in any written or electronic form chosen by the PSAP and approved by public safety commissioner. He must consider the PSAP's needs in approving the method. Quarterly, the office must give this information to DPH and make it available to the public, including via Internet posting.

EMERGENCY MEDICAL DISPATCH

Under the bill, by July 1, 2004, each PSAP must itself provide or arrange for emergency medical dispatch (EMD) to be provided by a public or private safety agency or a regional emergency telecommunications center, for all 9-1-1 calls received by the PSAP that require EMS. "Emergency Medical Dispatch" means the management of requests for emergency medical assistance using a system of (1) tiered response or priority dispatching of emergency medical resources based on the level of assistance needed and (2) prearrival first aid or other medical instructions given by trained personnel who are responsible for receiving 9-1-1 calls and directly dispatching emergency response services. Any PSAP arranging for EMD from a public or private agency or regional center must file with the office documentation demonstrating that the agency or center satisfies the bill's requirements.

An EMD program must include:

1. medical interrogation, dispatch prioritization, and prearrival instructions for 9-1-1 calls requiring EMS that are provided only by personnel who have satisfactorily completed an EMD training course offered or approved by the office;
2. a medically approved EMD priority reference system;
3. EMD continuing education;
4. a mechanism to detect and correct discrepancies between established EMD protocols and actual practice; and
5. a quality assurance component prepared with the assistance of an emergency medicine physician, to monitor EMD time intervals, use of EMD program components, and appropriateness of EMD instructions and protocols. (There must be an ongoing review of the EMD program's effectiveness.)

EMD Training

By July 1, 2001, the bill requires the office either to provide or approve an EMD training course and a continuing education course that meets requirements of the U.S. Department of Transportation, National

Highway Traffic Safety Administration, EMD: National Standard Curriculum.

The bill requires the office to provide each PSAP or regional center doing EMD with initial training of EMD personnel and an EMD priority reference card set.

FUNDING FOR ENHANCED EMERGENCY 9-1-1

Existing law requires the public safety commissioner to determine and specify the funding needed for development and administration of the enhanced Emergency 9-1-1 (E 9-1-1) program. This includes (1) purchasing and maintaining new PSAP terminal equipment, (2) subsidizing regional public safety emergency centers, (3) establishing a transition grant program to encourage regionalization of public safety communication, (4) establishing a regional emergency telecommunications service credit to support regional dispatch services, (5) necessary personnel training, (6) recurring expenses and future capital costs of the telecommunications network used to provide E 9-1-1 services, and (7) administrative expenses of the office.

To pay for the expenses of the E 9-1-1 program, the Department of Public Utility Control (DPUC) establishes a monthly assessment on each local telephone and commercial mobile radio service subscriber as defined by federal law.

This bill adds, for FY 2000-01 and afterwards, the expenses of developing the EMS data collection system and reporting by DPH to the items that determine the amount of funding the E 9-1-1 system needs. The bill specifies that the expenses for the data collection and reporting activities cannot exceed \$250,000 in any fiscal year. It specifies that, for FY 2000-01 and afterwards, the regional emergency telecommunication service credit for coordinated EMS must be based on a factor of 15 cents per capita and cannot be reduced each year.

The bill also adds, beginning in FY 2000-01, and afterwards, the reimbursement for initial training of EMD personnel, providing an EMD reference card set, and EMD training and continuing education.

EMS RATE INCREASES

By law, DPH must establish EMS rates and regulations that establish

rate-setting methods. The bill requires the regulations to specify that, beginning July 1, 2000, (1) rate increase requests can be filed only once a year; (2) only licensed and certified ambulance services that file for a rate increase and do not accept the maximum allowable rates contained in any voluntary statewide rate schedule established by the commissioner for the rate application year must file detailed financial information; (3) licensed and certified ambulance services that do not apply for an increase in a given year or that accept the maximum allowable rates in the voluntary rate schedule must file, by July 15, (a) an audited financial statement or an accountant's review report for the most recently completed fiscal year including total revenue and total expenses, (b) a statement of call volume, and (c) if the service is not applying for an increase, a written declaration that no change in the current maximum rates has occurred; and (4) detailed financial and operational information filed by licensed and certified ambulance services seeking a rate increase must cover the time period from their last request.

OUTCOME MEASURES

The bill requires the DPH commissioner to research, develop, and implement appropriate, quantifiable outcome measures for the state's EMS system. By July 1, 2002 and annually afterwards, he must report to the Public Health Committee on his progress and, after the measures are implemented, on the outcomes.

LOCAL EMERGENCY SERVICES PLANS

The bill requires each municipality to establish a local EMS plan by July 1, 2002. It must include written agreements or contracts between the town, its EMS providers, and the PSAP covering the municipality. The plan must also include (1) identification of levels of EMS, including (a) the responsible PSAP, (b) the EMS provider notified initially, (c) basic ambulance service, (d) advance life support level, and (e) mutual aid call agreements; (2) the person or entity responsible for each EMS level identified in the plan; (3) performance standards for each part of the town's EMS system; and (4) any subcontracts, written agreements, or mutual aid call agreements that EMS providers have with other entities.

In developing the plans, municipalities can get help from their regional EMS council and coordinator, regional EMS medical advisory

committees, and any sponsor hospital located in the plan area. The plan must be given to the regional EMS council for review and comment.

Model Local EMS Plans

By July 1, 2001, the bill requires the Office of Emergency Medical Services (OEMS), with the advice of the EMS Advisory Board and the regional EMS councils, to develop model local EMS plans and performance agreements to aid municipalities in developing such plans. OEMS must consider (1) the difference in delivering EMS in urban, suburban and rural settings; (2) the statewide plan for coordinated delivery of EMS; and (3) guidelines, standards, and contracts or written agreements used by towns with similar populations and characteristics.

PRIMARY SERVICE AREA RESPONDERS

The bill allows any town to ask the DPH commissioner to remove a responder. A "responder" is any primary service area responder (1) notified for initial response, (2) responsible for basic life support, or (3) responsible for intensive and complex prehospital care above basic life support that is consistent with acceptable emergency medical practices under the control of physician and hospital protocols. A "primary service area responder" is the EMS provider designated to respond in a primary service area. A "primary service area" is a specific municipality or part of one to which one designated EMS provider is assigned for each category of emergency medical response services.

The bill requires the DPH commissioner to establish primary service areas and assign in writing a primary service area responder for each primary service area. He can revoke primary service area assignment in the best interests of patient care.

Responder Removal

A municipality can petition the commissioner for a responder's removal (1) at any time based on an allegation that an emergency exists and the safety, health, and welfare of the primary service area's citizens are jeopardized by the responder's performance or (2) not more than every three years on the basis of unsatisfactory responder performance under the local EMS plan established by the town and

associated agreements or contracts. A hearing on a petition is a contested case under the Uniform Administrative Procedures Act (UAPA).

After a hearing, the commissioner can (1) revoke the responder's primary service area assignment and require the affected town's chief administrative official to submit a plan acceptable to the commissioner for alternative primary service responder responsibilities, (2) issue an order for alternative EMS provision, or (3) do both. To take any of these actions, he must find that (1) an emergency exists and the responder's performance jeopardizes the health and safety of those in the affected area, (2) the responder's performance is unsatisfactory based on the local EMS plan, or (3) it is in the best interests of patient care.

Performance Standards

A municipality can ask the commissioner to hold a hearing if it cannot reach a written agreement with its responder on performance standards. The hearing must be held within 90 days after receiving the petition. This hearing is not a contested case under the UAPA.

In the hearing, the commissioner must determine if the performance standards in the town's local EMS plan are reasonable, based on the statewide plan for the coordinated delivery of EMS, model local EMS plans, and the standards and agreements used by similar municipalities.

If the commissioner determines, after the hearing, that the performance standards in the local EMS plan are reasonable, the responder has 30 days to agree to them. If the responder fails or refuses to agree to the standards, the commissioner can (1) revoke the responder's primary service area assignment and require the town's chief administrative official to submit an acceptable plan for alternative primary area responder responsibilities, (2) issue an order for alternative EMS provision, or (3) do both.

If the commissioner determines, after the hearing, that the adopted standards are unreasonable, he must provide reasonable performance standards based on the statewide plan for coordinated EMS delivery, model EMS plans, and the standards and agreements used by similar towns. If the town refuses to agree to such performance standards, the

responder must meet minimum performance standards in state regulations.

Currently, DPH must adopt regulations on licensure and certification of the operations, facilities, and equipment of EMS organizations. Under the bill, these regulations must require that, as an express condition of purchasing any business that holds a primary service area, the purchaser must agree to abide by any performance standards to which the business was obligated according to an agreement with the municipality.

PRIMARY SERVICE AREA ASSIGNMENT PILOT PROGRAM

By February 1, 2001, the DPH commissioner must provide the Public Health Committee with a plan for implementing a pilot program in one or two towns that examines the effect of assigning primary service areas to select EMS providers based on periodic requests for proposals (RFP) with a right of first refusal to the provider holding the primary service area when the RFP is issued.

DPH's plan must address (1) procedures for selecting the towns for the pilot; (2) design and measurement of standards; (3) assessment factors; (4) identifying an evaluation entity; and (5) the period for program completion and reporting. The commissioner must hold a public hearing before he submits the plan.

The Public Health Committee must meet to consider the plan within 60 days of its submittal. If it rejects the plan, the commissioner must submit a revised plan within 90 days. The pilot program must begin on October 1, 2005 unless otherwise modified or rejected by the committee.

The pilot program must at least establish:

1. an appropriate time frame within the expiration of a municipality's current EMS contract for the initial issuance of RFPs and initial selection of an EMS provider by the municipality under the pilot program (but not to be construed to prevent a participating municipality from selecting or renewing any contract with its current EMS provider);
2. an appropriate time period from the date of initial selection after

which the municipality can solicit RFPs from alternative EMS providers (sufficient to allow the initial provider to recoup any investment made to provide EMS in the town but not more than eight years);

3. criteria for selecting and approving an alternative EMS provider that submits a bona fide proposal including (a) a right of first refusal for the provider in place when the RFP was issued, (b) a requirement for approval by the municipality's legislative body by a greater than majority vote, and (c) approval of the alternative provider by the commissioner as appropriate to protect the public health and safety; and
4. requirements for reporting the pilot program's status and results to the Public Health Committee as well as the commissioner's recommendations for continuing or expanding the program.

The bill specifies that it should not be construed to authorize termination of any contract in effect at the time the pilot program begins or otherwise interfere with any contractual rights or duties.

ADDITIONAL EMS VEHICLES AND LOCATIONS

The bill requires DPH to study and make recommendations on implementing an expedited approval or reporting process or a waiver of any required approval to operate additional ambulances, invalid coaches, nontransport emergency vehicles, and branch locations by licensed or certified people or EMS organizations. Such operations must not be a new service offered by the person or organization and cannot result in a rate change.

DPH must report on the study to the Public Health Committee by December 31, 2000.

BACKGROUND

Legislative History

The House referred the bill to the Appropriations Committee on March 31. The committee reported it favorably without change on April 4. The House referred the bill to the Public Safety Committee on April 7. The committee reported it favorably on April 12 without change. The

House then referred the bill to the Planning and Development Committee on April 13. The committee reported it favorably without change on April 17. On April 18, the House referred the bill to the Legislative Management Committee, which reported it favorably without change on April 24.

COMMITTEE ACTION

Public Health Committee

Joint Favorable Substitute

Yea 22 Nay 0

Appropriations Committee

Joint Favorable Report

Yea 44 Nay 0

Public Safety Committee

Joint Favorable Report

Yea 22 Nay 0

Planning and Development Committee

Joint Favorable Report

Yea 13 Nay 4

Joint Committee on Legislative Management

Joint Favorable Report

Yea 22 Nay 5